

Attachment 1

Regulations on Management of Disciplinary Punishment for SUSTech Graduate Students (For Trail Implementation)

Chapter 1

General Provisions

Article 1 The Regulations on Management of Disciplinary Punishment for SUSTech Graduate Students (For Trail Implementation) (hereinafter referred to as the "Regulations") is hereby formulated as per the "Provisions on the Administration of Students in Regular Institutions of Higher Education" (Decree No.41), the "Guideline for Student Conduct in Higher Education Institutions" and the "Regulations on Disciplinary Punishment for SUSTech Students" to maintain the normal order of education, teaching and living in the university, and protect the legitimate rights and interests of students.

Article 2 The Regulations is applicable to various graduate students independently and jointly trained by SUSTech.

Article 3 The punishment for the students shall be given in form of the combination of education and punishment, and be appropriate to the nature of students' violations of laws and disciplines and the seriousness of their faults. The

punishment shall be appropriate and given on the basis of sufficient evidence, clear basis, accurate evaluation, and proper procedure.

Chapter 2

Types and Applications of Punishment

Article 4 For students who violate laws and regulations, the "Provisions on the Administration of Students in Regular Institutions of Higher Education", the university's regulations and rules, the university shall give criticism and education, and give the following disciplinary sanctions depending on the seriousness:

- (1) Warning;
- (2) Serious warning;
- (3) Demerit recording;
- (4) Academic probation;
- (5) Expulsion.

Article 5 Except for the expulsion, the punishment is valid for 12 months in principle and shall be removed in accordance with the procedures prescribed by the university. Upon the removal of a punishment, the recognition, reward and other rights and interests of the student shall not be affected by the previous punishment.

Article 6 Those subject to disciplinary sanctions shall also be subject to the following treatments:

(1) Disqualification from participating in the evaluation of various awards, scholarships and honorary titles during the disciplinary period.

(2) The awarding of academic degrees and issuing of graduation certificates shall follow relevant regulations of the university.

(3) Where there are other treatments, such treatments shall be performed in accordance with relevant regulations.

Article 7 A student who violates discipline under any of the following circumstances may be given a light punishment:

(1) Negligence without causing serious consequences;

(2) Taking the initiative to admit the mistakes, stating the mistake truthfully, reviewing deeply and showing genuine repentance;

(3) Being intimidated or inveigled by others, actively reporting, showing a good attitude of admitting mistake;

(4) Other circumstances under which a light punishment may be given.

Article 8 A student who violates discipline under any of the following circumstances may be given a severe punishment:

(1) Causing serious consequences;

(2) Threatening and intimidating, retaliating against the persons concerned during the disciplinary investigation;

(3) Playing a major role in a group violation of discipline;

(4) Violating disciplines again within the disciplinary period;

(5) Those who have received three disciplinary sanctions or more may be expelled from the university;

(6) Other circumstances under which a severe punishment may be given.

Chapter 3

Disciplinary Violations and Punishment

Article 9 Those who violate national laws and regulations and are exempted from punishment by public security organs or judicial departments shall be given a punishment of warning or above; those who have been imposed a punishment by public security organs or judicial departments and caused serious consequences shall be expelled from the university.

Article 10 Those who publicly publish articles and make speeches that violate the Constitution and oppose the Four Cardinal Principles, and those who undermine the stability and unity of the country (such as illegal assemblies, processions, etc.) causing serious consequences shall be expelled from the university.

Article 11 Those who disturb the public order or interfere with the public safety or social management shall be given a punishment of serious warning or above depending on the seriousness.

Article 12 Those who violate the civic virtues and guideline for conduct of students in university shall be given a punishment of warning or above depending on the seriousness.

Article 13 Those who violate the regulations of the university on maintaining school safety and order, and those who damage the environment of the university shall be given a punishment of warning or above depending on the seriousness.

Article 14 Those who prevent or hinder administrators of the university from performing their official duties in accordance with laws or school regulations, and those who seek trouble on various grounds, shall be given a punishment of warning or above depending on the seriousness.

Article 15 Those who infringe upon personal and property rights shall be given a punishment of warning or above depending on the seriousness.

Article 16 Those who fight or intentionally injure others shall be given a punishment of warning or above depending on the seriousness.

Article 17 Those who violate the regulations of the university on education and teaching shall be given a punishment of warning or above depending on the seriousness.

Article 18 For students who violate the rules during the examination (assessment), the scores for the examination (assessment) of the course shall be recorded as invalid and a punishment of warning or above shall be given depending on the seriousness.

Article 19 Those who take national examinations in

place of a candidate or by another person; those who organize group cheating, participate in group cheating by providing cheating organizers with test question information, answers and relevant equipment; those who use communication equipment or other equipment to cheat, sell exam papers or answers to others for profit; and those who conduct serious cheating or disturb the examination order, shall be expelled from the university.

Article 20 Those who make the academic dissertations and published research achievements by means of copying, altering, or forging or those who write papers for others and buy or sell papers or have other academic dishonorable behaviors shall be expelled from the university if the violation is serious.

Article 21 Those who violate the regulations of the university on the management of student dormitories and disrupt the order of dormitory management shall be given a punishment of warning or above depending on the seriousness.

Article 22 Those who violate the regulations of the university on maintaining school rights and interests and reputation shall be given a punishment of warning or above depending on the seriousness.

Chapter 4

Punishment Authorities, Procedures and Appeal

Article 23 The punishment of warnings, serious warnings

and demerit recording given to students shall be verified, discussed and determined by the training unit and reported to the Graduate School for approval and record.

The punishment of academic probation or above shall be verified and discussed by the training unit who shall put forward a treatment suggestion and report it to the Graduate School. Upon approval by the Graduate School, it shall be handed over to the President's Office for discussion and decision.

In case of a disciplinary violation, the training unit shall promptly investigate it and report it to the security department or community police station for investigation if necessary. Students who have been clearly investigated for disciplinary violations shall be informed of the facts and basis of the investigation, and shall be informed that they have the right to make statements and arguments, and shall be listened to for their statements and arguments. Then, handling opinions shall be made according to the Regulations, which shall be reported to the graduate school for execution according to procedures.

Article 24 The training unit shall promptly, truly and completely report the information of disciplinary sanction and punishment removal to the Graduate School for record. The information on the punishment of academic probation and above shall be included into the student's own archives.

Article 25 Upon the decision made on the punishment, a punishment decision letter shall be issued. The punishment

decision letter shall include the following information:

- (1) Basic information of the student;
- (2) The fact and evidence of the punishment;
- (3) The type, basis and period of the punishment;
- (4) The way of appeal and the time limit;
- (5) Other necessary content.

Article 26 Upon the decision on the punishment, the training unit shall directly deliver the "Decision Letter for Punishment of Disciplinary Violations of SUSTech Graduate Students" (in triplicate, kept by the student being punished, the training unit and the Graduate School each) to the student who shall sign "I have been informed of the punishment decision and signed for it". If the student refuses to sign, it shall be served by lien (on the date when the relevant responsible person and witness of the training unit sign on the punishment decision letter), if the student has left the university, it shall be served by means of post (on the date when the sign-off is performed by the mail inquiry system), if the student is difficult to contact with, it shall be served by means of public notice on the university website (on the date when the public notice is posted on the university website).

The punishment decision on the expulsion of the student from the university shall be reported to the Provincial Department of Education and the Municipal Education Bureau for record.

Article 27 The student may appeal a complaint against

the decision on punishment in accordance with the procedures described in Regulations. During the period of appeal handling, the execution of the decision on the punishment shall not be suspended.

Chapter 5 Supplementary Provisions

Article 28 Violations of disciplines and regulations not described herein shall be given appropriate punishment according to similar provisions in the Regulations if necessary punishment need to be given.

Article 29 The Regulations shall come into effect as of the date of promulgation.

Article 30 The SUSTech Graduate School is responsible for the interpretation of the Regulations.