

Rules for Overseas Exchange Program for Postgraduates at Southern University of Science and Technology (Tentative)

Chapter I General Principles

Article 1 The Overseas Exchange Program for Postgraduates at Southern University of Science and Technology (SUSTech) (the “Program”) and the Rules for the Program (the “Rules”) have been set up and formulated to facilitate the internationalization of SUSTech, promote academic exchanges, and enhance the quality of development for postgraduates.

Article 2 The Program includes an overseas academic conference exchange program for postgraduates, short-term visiting student program for PhD students and an overseas visiting PhD student program.

Article 3 The overseas academic conference exchange program for postgraduates targets the registered full-time postgraduates at SUSTech (including overseas PhD students) that are not employer-contracted, and the full-time postgraduates who have studied under the SUSTech and Harbin Institute of Technology (HIT) Joint Program and are not employer-contracted.

The short-term visiting student program for PhD students targets the registered SUSTech full-time PhD students (including overseas PhD students) that are not employer-contracted, as well as the full-time PhD students who have studied under the SUSTech and HIT Joint Program and are not employer-contracted.

The overseas visiting PhD student program targets PhD students from prestigious overseas universities.

Article 4 The amounts mentioned in the Rules are all shown in RMB.

Chapter II Overseas Academic Conference Exchange Program for Postgraduates

Article 5 Under the Overseas Academic Conference Exchange Program for Postgraduates (the “OACEPP”), SUSTech subsidizes attendance to overseas authoritative academic conferences (in their respective academic fields) by outstanding postgraduates and PhD students.

Article 6 Applicant Requirements

- (1) Postgraduates that apply for OACEPP shall complete a thesis proposal. PhD students that apply for OACEPP shall finish their specialized courses;

- (2) Those who apply to attend overseas academic conferences shall provide paper presentation documents or thesis poster presentations, and one thesis can only enable one postgraduate to attend the requested overseas academic conference;
- (3) Postgraduates may apply for multiple subsidies from OACEPP during their studies.

Article 7 Subsidy Details and Quota

(1) Subsidy Details

OACEPP subsidizes postgraduates' round-trip transportation costs, accommodation costs and registration costs incurred from attending the requested overseas academic conferences.

(2) Subsidy Quota

Subsidies under OACEPP shall not exceed RMB 10,000 per person, and the applicants' supervisors shall also provide financial support that is at least equal to the subsidy amount. The expenses will be reimbursed based on the amount incurred according to the applicable accounting rules of SUSTech.

Article 8 Subsidy Vetting Procedures

(1) Application Time

Applications for OACEPP can be submitted in February, May, September and November.

(2) Application Materials

Postgraduates shall submit the following materials to their colleges and departments:

- 1 Application Form for SUSTech Overseas Academic Conference Exchange Program for Postgraduates;
- 2 Invitation letters from overseas academic conferences, thesis acceptance letters, report invitations, website information or introduction of academic conferences, among others.

(3) Vetting Processes

- 1 The colleges and departments vet applicant materials and confirm candidate lists, which are submitted to the graduate school.
- 2 The graduate school reviews the applicant materials and finalizes the list of subsidy recipients.

Article 9 Departing and Administration

- (1) Before departing for OACEPP, subsidy recipients shall sign the Business Trip Application Form for SUSTech Postgraduates, Student Statement, Relatives Statement, and Overseas Exchange and Studies Agreement.
- (2) Subsidy recipients shall provide the required materials to their respective colleges and departments for assessment within two (2) weeks after conclusion of academic conferences attended by such subsidy recipients. This shall include a Summarizing Report on the Overseas Academic Conferences for SUSTech Postgraduates, photocopies of the thesis that is used in related academic conferences, and pictures that show the subsidy recipients reading their thesis at conferences. Such materials shall be reviewed by the colleges and departments and then filed at the graduate school.
- (3) After obtaining relevant approvals, subsidy recipients may be reimbursed by their respective colleges and departments based on applicable invoices.

Chapter III Short-Term Visiting Student Program for PhD Students

Article 10 Under the Short-Term Visiting Student Program for PhD Students (the “SVSPPC”), SUSTech subsidizes outstanding PhD students’ participation in various overseas short-term academic exchanges, technological trainings, internships, among others. Each short-term study visit shall last between 3 and 6 months.

Article 11 Applicant Requirements

- (1) SVSPPC applicants shall complete their specialized courses;
- (2) Applicants’ supervisor approve of applicants’ participation in SVSPPC, and applicants shall provide the official written invitation from overseas universities;
- (3) Postgraduates can only receive SVSPPC subsidy once during their studies.

Article 12 Subsidy Details, Quota and Source of Funding

- (1) SVSPPC subsidizes PhD students’ overseas living expenses based on the standard of RMB 5,000 for each person per month. Total subsidy for one single person shall not exceed RMB 30,000.
- (2) SUSTech shall pay 60% of the subsidy amount, and supervisors shall pay 40%. Any amount in excess of the subsidy (if any) shall be paid by the colleges and departments, supervisors, participating students or other funding sources.

Article 13 Subsidy Vetting Procedures

(1) Application Time

SVSPPC organizes two rounds of assessments and selections every year. The application periods are in March and October.

(2) Application Materials

Postgraduates shall submit the following materials to their respective colleges and departments:

- 1 Application Form for the SUSTech Short-Term Visiting Student Program for PhD Students;
- 2 Personal resumes (including scientific research outcomes and academic records);
- 3 Official invitation letters from overseas universities;
- 4 Resumes of overseas supervisors;
- 5 Research plan in English (jointly signed by overseas and SUSTech supervisors).

(3) Vetting Processes

- 1 The colleges and departments shall establish selection rules based on the features of their academic programs, and submit the confirmed candidate lists and recommendations to the graduate school.
- 2 The graduate school shall invite experts to review the candidate lists and finalize the list of subsidy recipients.

Article 14 Departing and Administration

- (1) Before departing for SVSPPC, subsidy recipients shall sign the Business Trip Application Form for SUSTech Postgraduates, Student Statement, Relatives Statement, and Overseas Exchange and Studies Agreement.
- (2) Subsidy recipients shall submit the completed Form for Summary and Assessment on the SUSTech Short-Term Visiting Student Program for PhD Students (including overseas supervisors' comments on subsidy recipients' performance during the short-term study visits) and a photo certifying the short-term study visits to their respective colleges and departments within two (2) weeks after completion of their short-term study visits. The completed form will be reviewed by the colleges and departments, then filed at the graduate school.

Chapter IV Overseas Visiting PhD Student Program

Article 15 Under the Overseas Visiting PhD Student Program (the "OCPCP"), SUSTech subsidizes PhD students from prestigious overseas universities for their participation in academic exchanges at SUSTech. Each academic exchange shall last between 3 and 6 months.

Article 16 Applicant Requirements

- (1) OCPCP applicants shall be full-time PhD students from overseas universities as well as universities in Hong Kong and Macau;

- (2) OCPCP applicants shall have excellent academic records and strong scientific research capabilities, and provide supporting documents such as transcripts, published papers, certificates, among others;
- (3) OCPCP applicants' majors shall match those of related SUSTech colleges and departments;
- (4) OCPCP applicants shall be recommended by supervisors from their universities;
- (5) OCPCP applicants shall meet other requirements set by SUSTech.

Article 17 Subsidy Details, Quota and Source of Funding

- (1) OCPCP subsidizes PhD students' living expenses at SUSTech based on the standard of RMB 3,000 for each person per month. Total subsidy for one single person shall not exceed RMB 18,000.
- (2) SUSTech shall pay 60% of the subsidy amount, and supervisors shall pay 40%. Any amount in excess of the subsidy amount (if any) shall be paid by the colleges and departments, supervisors, participating students or other funding sources.
- (3) Overseas visiting PhD students may apply to live in SUSTech's dormitories, provided that SUSTech's dormitories have ample idle space and the overseas visiting PhD students pay costs according to related SUSTech rules.

Article 18 Subsidy Vetting Procedures

(1) Application Time

OCPCP organizes two rounds of assessments and selections ever year. The application periods are in March and October.

(2) Application Materials

Postgraduates shall submit the following materials to the corresponding SUSTech colleges and departments:

- 1 Application Form for the SUSTech Overseas Visiting PhD Student Program;
- 2 Personal resumes (including scientific research outcomes and academic records);
- 3 Recommendation letters from overseas supervisors;
- 4 Transcripts;
- 5 Resumes of the overseas supervisors;
- 6 Research plan in English (jointly signed by overseas and SUSTech supervisors);

7 Published papers, award certificates, among others. Applicants also need to provide certified English translation of any required document that is not in English, if any.

(3) Vetting Processes

1 The colleges and departments shall establish selection rules based on their respective academic features, and submit the confirmed candidate lists and recommendations to the graduate school.

2 The graduate school shall arrange experts to review the candidate lists and finalize the list of subsidy recipients.

Article 19 Admission and Administration

(1) The receiving colleges and departments shall send invitation letters to accepted overseas visiting PhD students, who shall arrive at the colleges and departments before required deadlines.

(2) The receiving colleges and departments shall be responsible for the study and research tasks assigned to overseas visiting PhD students during their stay at SUSTech. If overseas visiting PhD students need to choose courses, they have to complete required processes according to applicable rules. Overseas visiting PhD students will be given transcripts after they successfully complete optional courses.

(3) Overseas visiting PhD students shall comply with the rules and regulations of SUSTech during their study at SUSTech.

(4) Overseas visiting PhD students shall return to their home universities in due course. Upon completion of their academic exchanges, SUSTech will stop providing any conditions or resources for studying or living.

(5) Prior to completion of their academic exchanges, overseas visiting PhD students shall complete all exit procedures and submit the completed Form for Departure of Overseas Visiting PhD Students at SUSTech to the receiving colleges and departments for review.

(6) Within two (2) weeks before the completion of their academic exchanges, overseas visiting PhD students shall provide a report on the outcome of their academic exchanges to SUSTech in the form of academic research paper, which shall include comments of the SUSTech supervisor. The overseas visiting PhD students shall also submit the completed Form for Summary and Assessment of Overseas PhD Students' Academic Exchange at SUSTech to the receiving colleges and departments. The completed form will be reviewed by the receiving colleges and departments, then filed at the graduate school.

(7) SUSTech shall own the intellectual property pertaining to any research outcome that overseas visiting PhD students achieve during their academic exchange at SUSTech, provided that such research outcome is acquired under guidance from SUSTech supervisors and is based on research using SUSTech's researching conditions and teaching resources. When being published in any external or

internal publications or materials, any research outcome that is based on research using SUSTech's researching conditions and teaching resources shall bear the name of SUSTech and the related SUSTech supervisors, and indicate that it was funded by the SUSTech Overseas Visiting PhD Student Program.

Chapter V Supplementary Rules

Article 20 The Rules become effective on the date of promulgation.

Article 21 The graduate school is responsible for interpreting the Rules.

Note:

For the purpose of this document, the adjective 'overseas' used herein encompasses all foreign countries and regions other than PRC, as well as regions including Hong Kong, Macau and Taiwan. For instance, 'overseas universities' include universities from Taiwan, Hong Kong and Macau

The translation is for reference only. In case of any discrepancy between the Chinese version and the translation, the Chinese version shall prevail.